



Alkenone and tetraether lipids reflect different seasonal seawater temperatures in the coastal northern South China Sea

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ABSTRACT

We examined the lipid-based temperature proxies U_{37}^K and TEX_{86}^H in five short sediment cores from the inner shelf of the northern South China Sea and related them to local sea water temperature from the World Ocean Atlas (WOA) database. The U_{37}^K -based temperature values are consistent with euphotic zone temperature in spring and summer, when the southeast monsoon prevails and vertical stratification occurs in the water column, whereas TEX_{86}^H -based temperature values reflect sea surface temperature in winter when the northeast monsoon prevails and vertical mixing occurs. The different temperature signals between the TEX_{86}^H and U_{37}^K proxies could be a result of differences in the growing season between the source organisms, with alkenone-producing haptophyte algae blooming in the warmer and nutrient-rich season with higher fluvial influx, and tetraether-producing Thaumarchaeota blooming in the cooler and oligotrophic season.

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1. Introduction

U_{37}^K and TEX_{86} are seawater temperature proxies based on haptophyte-produced alkenones and Thaumarchaeota-produced glycerol dialkyl glycerol tetraethers (GDGTs), respectively (Prah and Wakeham, 1987; Schouten et al., 2002). Generally, they are accepted as indicators of annual mean sea surface temperature (SST), and have been widely used for paleo-SST reconstruction (reviewed by Schouten et al., 2013). However, paired analysis of U_{37}^K and TEX_{86} proxies in core top and downcore sediments in some regions has revealed that temperature values based on the two proxies tend to be different, and hence have different meanings. For example, in the offshore Adriatic Sea and the Eastern Mediterranean TEX_{86} -based temperature values were higher than U_{37}^K -based values, likely due to seasonal differences between the timing of the haptophyte (winter) and Thaumarchaeota (summer) blooms (Castañeda et al., 2010; Leider et al., 2010). Thaumarchaeota can also bloom during winter, thereby giving cold-biased TEX_{86} temperature values, as observed in the North Sea (Herfort et al., 2006). Other studies have shown that in some settings TEX_{86} -based temperature values are lower than corresponding U_{37}^K -based values, suggestive of a subsurface water signal recorded by TEX_{86} , for example, in the Santa Barbara Basin (Huguet et al., 2007), the Benguela upwelling area (Lee et al., 2008) and the west coast of Africa (Lopes dos Santos et al., 2010). These results therefore highlight the importance of performing calibration studies using

sediment traps and core tops before applying the temperature proxies in a given study area.

The South China Sea (SCS) is a large marginal sea in the tropical western Pacific and affords archives of high quality sediment for paleoceanographic research. A recent study suggests that the TEX_{86} record in the SCS may be consistent with annual sea surface temperature (SST) in the open sea (Wei et al., 2011; Ge et al., 2013). However, Jia et al. (2012) showed that the TEX_{86} - and U_{37}^K -based temperature values for deep water (>300 m) core top sediments in the SCS may record subsurface, specifically 30–125 m, water temperature and SST, respectively. On the shallow inner shelf of the northern SCS, Wei et al. (2011) and Ge et al. (2013) showed significantly lower sedimentary TEX_{86} temperature estimates than the mean annual SST based on satellite data. In Wei et al. (2011), no specific reason was given for the low TEX_{86} values, whereas the Ge et al. (2013) study realized that TEX_{86} might reflect winter temperature. However, there is a lack of an integrated study of U_{37}^K and TEX_{86} in this region. Here, we show that, unlike in the open SCS, the two proxies reflect different seasonal seawater temperatures in this coastal area.

2. Material and methods

2.1. Study area and sample collection

The northern coastal SCS off the Pearl River estuary (PRE; Fig. 1) is characterized by a tropical and subtropical monsoon climate and complex hydrological environments. Wind speed of the NE monsoon is much stronger in winter than that of the SW monsoon in

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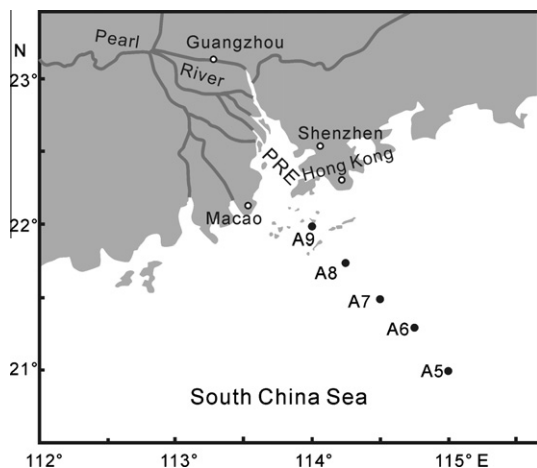


Fig. 1. Location of sediment cores from the coastal northern SCS (PRE, Pearl River Estuary).

summer in the area. In response to the seasonal changing directions of the East Asian monsoon, the coastal current flows north-eastward in summer and reverses direction in winter (Su, 2004). The outflow of the PR also greatly influences coastal water, mostly in the wet season, by delivering large amounts of nutrients (Zhai et al., 2005). Water column temperature in the study area displays an annual cycle, showing vertical stratification and horizontal homogenization in the warm and wet season, and strong vertical mixing and an inshore-offshore temperature gradient in the cool and dry season (Fig. 2).

Productivity in the coastal area is linked to nutrient load in the photic zone, which is significantly controlled by fluvial input. The PR discharge, as well as nutrient influx, is characterized by peak flooding during summer, which can induce a large phytoplankton bloom (Dai et al., 2008). In the dry winter, although vertical mixing occurs, nutrient level falls because of the combined effects of lower terrestrial nutrient input, near-shore downwelling (Liu et al., 2010) and the oligotrophic nature of the SCS surface water. Primary productivity was reported to be an order of magnitude higher in summer ($433.2 \text{ mg C m}^{-2} \text{ d}^{-1}$; Song et al., 2010) than in winter ($41.3 \text{ mg C m}^{-2} \text{ d}^{-1}$; Le et al., 2008). Diatoms are predominant in the phytoplankton both in winter and summer, with coccolithophores,

mainly *Emiliania huxleyi*, remaining minor but common in the area (Le et al., 2006; Sun et al., 2007). Detailed phytoplankton dynamics have yet to be investigated. Little is known about Thaumarchaeota in the area, although they may be ubiquitous and abundant in coastal waters (e.g. Urakawa et al., 2010).

Five box cores A5 to A9 (Table 1) were collected during the China Ocean Carbon (CHOICE-C) Cruise I onboard the Dongfanghong II in August 2009. They were from along a cross-shelf transect off the PRE and Hong Kong to the southeast in the inner shelf of the northern SCS (Fig. 1). Core A9 was located inshore near the PRE mouth and A5 from offshore at the distal end. The core tops were well preserved upon collection, as evidenced by fairly clear water above the sediment in the box corer. After the overlying water was siphoned off, core barrels (11.4 cm i.d.; 60 cm length) were pushed into the box to obtain sub-cores. Sediments in the sub-cores were (usually within 1 h) extruded onboard with a hydraulic jack and sectioned at 2 cm intervals. The sectioned samples were sealed in plastic jars (8.5 cm i.d.; 7.5 cm height) and kept frozen until analysis in the laboratory.

In addition, we collected 2 soil samples on land, and 3 sediment samples and 2 suspended matter samples from the main river channel upstream of the PRE.

2.2. Analytical methods

All five cores were dated using ^{210}Pb methods and the results shown in Table 1 have been discussed elsewhere (Lei et al., 2012; Jia et al., in press). The freeze-dried samples were ultrasonically extracted. For the purification of extract, column chromatography and instrumental analysis of GDGTs and alkenones were referred to Hopmans et al. (2000) and Villanueva et al. (1997), respectively, and the details are described by Jia et al. (2012). U_{37}^K and TEX_{86} values were calculated on the basis of established equations (Prah and Wakeham, 1987; Schouten et al., 2002). As the calibration of TEX_{86} has been updated several times (reviewed by Schouten et al. (2013)), we applied the most up to date global calibration by Kim et al. (2010) for temperature estimation:

$$T = 68.4 \times (\text{TEX}_{86}^H) + 38.6 \quad (r^2 \text{ 0.87}, n = 255)$$

where $\text{TEX}_{86}^H = \log(\text{TEX}_{86})$. U_{37}^K -based temperature was calculated using the global calibration of Müller et al. (1998):

$$T = (U_{37}^K - 0.044)/0.033 \quad (r^2 \text{ 0.958}, n = 370)$$

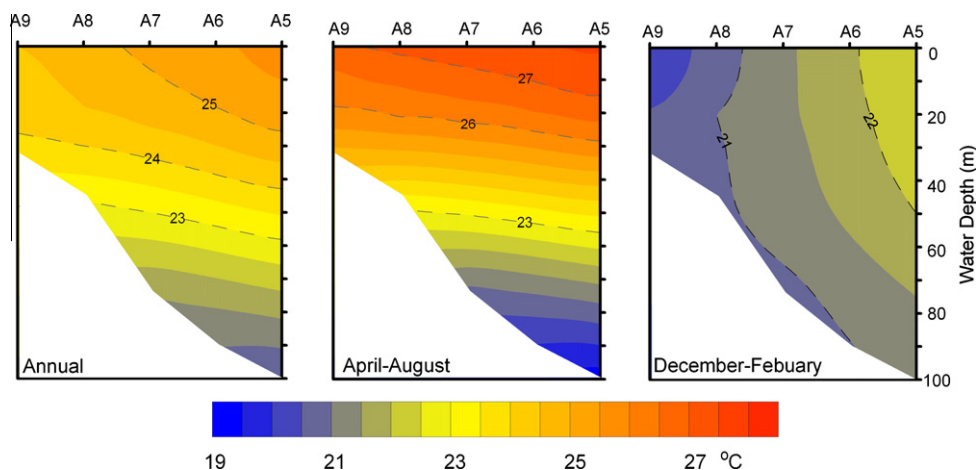


Fig. 2. Sea water temperature distributions during different time periods in the study area. Temperature data were downloaded online from the World Ocean Atlas (WOA) 2001 in the National Oceanographic Data Center (NODC) on a $1/4^\circ$ grid resolution (http://www.nodc.noaa.gov/OC5/WOA01/qd_ts01.html).

Table 1
Information on sediment cores and ^{210}Pb dating results.

	A5	A6	A7	A8	A9
<i>Location</i>					
Latitude (N)	21.0	21.25	21.5	21.8	22.0
Longitude (E)	115.0	114.7	114.5	114.2	114.0
Water depth (m)	102	89	73	45	33
Core length (cm)	24	34	20	48	52
<i>Dating model (CIC)</i>					
MAR ($\text{g cm}^{-2} \text{yr}^{-1}$) ^a	0.28	0.33	0.16	0.35	0.48
Time span (yr)	1901–2006	1902–2008	1906–2006	1892–2008	1936–2008
MTR ^b per 2 cm interval (yr)	9.6	6.6	11.1	2.4	3.3

^a Mass accumulation rate.

^b Mean temporal resolution.

2.3. Temperature in the water column

Temperature data for every core location were downloaded online from the World Ocean Atlas (WOA) 2001 in the National Oceanographic Data Center (NODC) on a $1/4^\circ$ grid resolution (http://www.nodc.noaa.gov/OC5/WOA01/qd_ts01.html). The database provides 3 D interpolation onto 24 standardized vertical intervals from the surface (0 m) to the seafloor (5500 m) for monthly mean parameters.

3. Results and discussion

3.1. $\text{TEX}_{86}^{\text{H}}$ and U_{37}^{K} derived temperatures

A key difficulty for using TEX_{86} estimates in marine environment is the contribution from isoprenoid GDGTs of continental origin (Weijers et al., 2006), which should be given special attention in large river-influenced coastal areas. The BIT index, a proxy based on the relative abundance of soil derived branched tetraether lipids vs. crenarchaeol for estimating the relative contribution of soil organic matter (OM) to marine sediments (Hopmans et al., 2004; Weijers et al., 2006), exhibits values between 0.90 and 0.99 in our soil and river samples upstream of the PRE. In the PRE, BIT decreases from >0.9 in the sediments at the freshwater entrance to <0.4 at the PRE mouth (Strong et al., 2012; Zhang et al., 2012), suggestive of a reducing soil OM contribution along the flow path from terrestrial to marine environments. For our cores, BIT values are 0.20 ± 0.03 , 0.22 ± 0.03 , 0.17 ± 0.02 , 0.13 ± 0.02 and 0.12 ± 0.01 in cores A9, A8, A7, A6 and A5, respectively, exhibiting a slightly shoreward increasing trend. This BIT pattern fits with the expected shoreward increasing contribution of soil OM; however, all BIT values (range 0.09–0.27) are within the range for marine sediments used for $\text{TEX}_{86}^{\text{H}}$ calibration (e.g. Kim et al., 2010), reflecting minor influence of soil-derived GDGTs on the use of $\text{TEX}_{86}^{\text{H}}$ as a sea water temperature proxy. This point is further discussed below.

GDGT data from core tops of A5, A7 and A9 have been analyzed in another lab and published elsewhere (Wei et al., 2011), showing $\text{TEX}_{86}^{\text{H}}$ -based temperatures of 23.2 °C, 20.4 °C and 18.4 °C, respectively, for the three sites. Similarly, our downcore mean temperature values estimated from $\text{TEX}_{86}^{\text{H}}$ are 23.3 ± 0.9 °C (range 21.7–24.5 °C), 22.3 ± 0.8 °C (range 20.7–23.5 °C), 21.2 ± 1.0 °C (range 19.8–23.0 °C), 20.7 ± 0.5 °C (range 19.7–21.8 °C), 18.1 ± 1.3 °C (range 15.6–21.4 °C) in A5, A6, A7, A8 and A9, respectively (Figs. 3 and 4). This shoreward cooling trend is similar to the BIT distribution, which exhibits increasing values from A5 to A9, implying that soil derived isoprenoid GDGTs in sediments might have caused the $\text{TEX}_{86}^{\text{H}}$ derived temperature pattern. However, the $\text{TEX}_{86}^{\text{H}}$ “temperature” in soils, river sediments and suspended matter from the PR delta ranges from 20.2 to 26.6 °C, with a mean value of 23.3 ± 2.1 °C, too high to be responsible for the observed

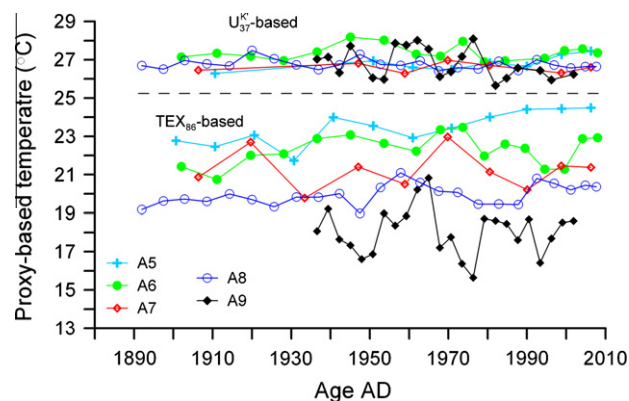


Fig. 3. Downcore temperature in the five cores based on U_{37}^{K} and TEX_{86} proxies.

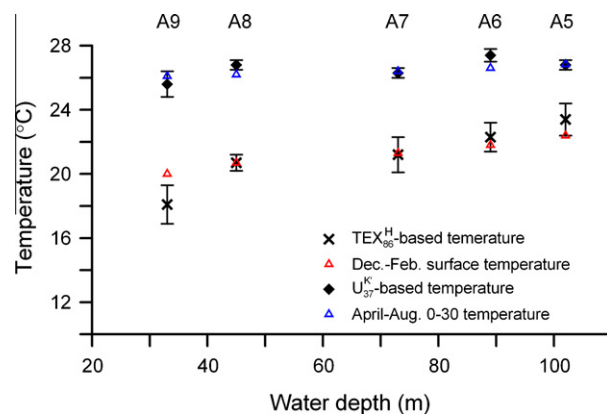


Fig. 4. Comparison of downcore mean U_{37}^{K} - and TEX_{86} -based temperatures, respectively, with WOA seasonal water temperatures at the five sites.

shoreward cooling trend. So, soil derived GDGTs are unlikely to be the cause of the observed $\text{TEX}_{86}^{\text{H}}$ temperature distribution.

We also noticed that the above estimated temperature values are lower than the corresponding WOA annual temperature values at any water depth between 0 and 40 m (Fig. 2), suggesting that $\text{TEX}_{86}^{\text{H}}$ derived values do not reflect annual SST in the study area. Given that Thaumarchaeota can grow chemolithoautotrophically by aerobically oxidizing NH_3 to NO_2^- in deeper water and low reconstructed temperature values could result from subsurface production of GDGTs [reviewed by Schouten et al. (2013)], our $\text{TEX}_{86}^{\text{H}}$ derived values could likely reflect subsurface temperature. However, employing the calibration of $\text{TEX}_{86}^{\text{H}}$ vs. 0–200 m water temperature (i.e. $T = 54.7 \times \text{TEX}_{86}^{\text{H}} + 30.7$) proposed by Kim et al. (2012), which contains subsurface temperature signals and is

actually identical to the calibration for 30–125 m water temperature in the open SCS (Jia et al., 2012), would result in unrealistic values lower than bottom temperature. Additionally, the horizontal WOA annual temperature gradient ($<1\text{ }^{\circ}\text{C}$) throughout the water column is much smaller than the gradient from our $\text{TEX}_{86}^{\text{H}}$ estimates ($>5\text{ }^{\circ}\text{C}$). Therefore, invoking a substantial contribution of GDGTs from subsurface appears not suitable for this shallow (33–102 m) coastal area.

On the other hand, the shoreward cooling trend in $\text{TEX}_{86}^{\text{H}}$ -derived temperature is similar to the winter SST pattern shown in Fig. 2, and the corresponding WOA-derived winter SST for these sites is: $22.3\text{ }^{\circ}\text{C}$ (A5), $21.8\text{ }^{\circ}\text{C}$ (A6), $21.3\text{ }^{\circ}\text{C}$ (A7), $20.6\text{ }^{\circ}\text{C}$ (A8) and $19.7\text{ }^{\circ}\text{C}$ (A9). Apparently, the differences between the $\text{TEX}_{86}^{\text{H}}$ -based temperature and WOA derived winter SST are within $1\text{ }^{\circ}\text{C}$ except at site A9, where it reaches $1.6\text{ }^{\circ}\text{C}$ (Fig. 4). Similarly, using the $\text{TEX}_{86}^{\text{H}}$ calibration proposed by Kim et al. (2008) also resulted in temperature differences $<1\text{ }^{\circ}\text{C}$, except $2.3\text{ }^{\circ}\text{C}$ at A9. The larger difference at A9 is not clear at present, but might be related to some local temperature variation, as discussed below, that is not captured in WOA. Nevertheless, the above differences are well within the estimation error of $2.5\text{ }^{\circ}\text{C}$ from the $\text{TEX}_{86}^{\text{H}}$ calibration (Kim et al., 2010), so the general agreement between $\text{TEX}_{86}^{\text{H}}$ temperature and WOA winter SST demonstrates that $\text{TEX}_{86}^{\text{H}}$ derived temperature mainly reflects winter temperature in the study area.

While GDGTs are abundant throughout the cores, in some samples long chain alkenones are either not present or are below detection limit. Unlike $\text{TEX}_{86}^{\text{H}}$ temperature, downcore mean U_{37}^{K} -based temperature values for the five cores ($25.6\text{--}27.4\text{ }^{\circ}\text{C}$) are higher than the corresponding WOA annual SST ($24.5\text{--}25.7\text{ }^{\circ}\text{C}$), but lower than summer SST ($>28\text{ }^{\circ}\text{C}$). Additionally, there is only a small shoreward cooling trend ($<0.8\text{ }^{\circ}\text{C}$) in the U_{37}^{K} temperature signal. We therefore explored the season and/or water depth from which the temperature signals appear to be derived by comparing the mean U_{37}^{K} -based values with the mean WOA values at varying water column depth (WCD) and monthly intervals. Minimum differences (-0.5 to $+0.8\text{ }^{\circ}\text{C}$) between U_{37}^{K} -based values and WOA values were observed for two scenarios: (i) at 0–30 m WCD from April to August (Fig. 4) and (ii) at the sea surface (i.e. 0 m WCD) from March to November. Since alkenone-producing haptophytes live in the euphotic zone rather than only at the surface, we prefer the former scenario as the determinant of the U_{37}^{K} temperature signal. This suggests that the U_{37}^{K} proxy indicates the spring and summer upper layer water temperature in the study area. Time series curves of U_{37}^{K} -based temperature do not show offset between the five cores (Fig. 3). This is in agreement with the horizontal temperature homogenization in the warm season, confirming that the U_{37}^{K} proxy reflects the warm season temperature signal.

Unlike U_{37}^{K} -based temperature curves, time series $\text{TEX}_{86}^{\text{H}}$ -based temperature estimates from the five cores exhibit a general shoreward cooling trend (Fig. 3), similar to the winter temperature distribution. However, temperature variation based on $\text{TEX}_{86}^{\text{H}}$ does not appear to agree well between cores. This might be due to several possible factors pending further research, for example, the different density and time resolution of data points for these records, age model uncertainty, and sediment winnowing and focusing associated with seabed morphology or water circulation. In addition, we note that the variability in both U_{37}^{K} and $\text{TEX}_{86}^{\text{H}}$ derived temperature is greater for core A9 than the other cores (Fig. 3). This might be associated with the proximity of site A9 to the PRE mouth, which makes the local sea water temperature prone to be influenced by river water input that is quite variable in temperature and discharge. However, given the low and usually southwestward fluvial discharge during winter, the large temporal variation (up to $5.2\text{ }^{\circ}\text{C}$) for $\text{TEX}_{86}^{\text{H}}$ derived temperature in core A9 seems not likely caused solely by the interference of river water. Recently, Liu et al. (2010) reported from an in situ observation in winter of 2006

that horizontally there was a narrow transition zone, characterized by a thermocline and inverse halocline at 15 m WCD between the well-mixed coastal water ($<25\text{ m}$ bottom depth) and shelf water ($>60\text{ m}$ bottom depth). This transition zone was caused by downwelling and offshore transport of the low temperature and low salinity coastal water at the bottom and the shoreward transport of the higher temperature and salinity shelf water at the surface (Liu et al., 2010). However, the transition zone appears not a stable phenomenon as it was not observed in our winter cruise in 2009 (CHOICE-C winter cruise report: Cruise 2-China sea shelf systems, Xiamen University, 2010). Because site A9 is situated just in this transition zone, we tentatively attribute the cyclic pattern of $\text{TEX}_{86}^{\text{H}}$ temperature curve at the site to the influence of the labile downwelling and offshore transport of coastal water. Apparently, more high resolution sedimentary records around the site are needed to examine this observation and its cause.

3.2. Mechanisms and implications

Our data show that there is a strong seasonal contrast in the $\text{TEX}_{86}^{\text{H}}$ -based and U_{37}^{K} -based sea water temperatures in the study area. Similar observations have been reported for, e.g. the Arabian Sea (Huguet et al., 2006) and Adriatic Sea (Leider et al., 2010), which were attributed to seasonal differences between the timing of the haptophyte and Thaumarchaeota blooms. Although haptophyte seasonal dynamics are not clear for the study area, algal blooms and maximal primary production have been found in summer when high fluvial nutrient influx occurs (Dai et al., 2008; Le et al., 2008; Song et al., 2010), which may be responsible for the spring-summer biased U_{37}^{K} -based temperature in the sediments. Our winter biased $\text{TEX}_{86}^{\text{H}}$ temperature is consistent with some previous observations showing that surface water Thaumarchaeota populations were abundant during winter and early spring in the Antarctic (Massana et al., 1998; Murray et al., 1998), the Arctic (Alonso-Sáez et al., 2008), the Adriatic Sea (Leider et al., 2010), near-shore waters off the island of Texel, The Netherlands (Wuchter et al., 2006) and the shallow, well-mixed southern North Sea (Herfort et al., 2007). The Thaumarchaeota decline with the onset of spring at these locations has been attributed to competition with bacteria that bloom in response to increased phytoplankton production (Massana et al., 1998; Murray et al., 1998; Church et al., 2003; Herfort et al., 2007), ensuing nutrient limitation (Wuchter et al., 2006; Herfort et al., 2007), or photoinhibition due to the increase in irradiance (Kalanetra et al., 2009). These scenarios occur similarly in our study area, i.e. during winter phytoplankton production declines in response to decreased terrestrial nutrient influx, and water column light levels are low due to the higher load of suspended particles caused by strong northeast monsoon-induced vertical mixing (Tang et al., 2007). These winter conditions are favorable for the bloom of Thaumarchaeota, and thus may be responsible for the winter biased $\text{TEX}_{86}^{\text{H}}$ temperature.

Together with our previous observation that $\text{TEX}_{86}^{\text{H}}$ reflected subsurface temperature in the open SCS ($>300\text{ m}$ water depth; Jia et al., 2012), the finding of winter biased $\text{TEX}_{86}^{\text{H}}$ temperature in coastal northern SCS suggests that there are two modes of Thaumarchaeota growth in the deep and shallow SCS, respectively. Although the two modes are spatially and temporally different, both show an inverse relationship with haptophyte growth, which might be caused by different responses of haptophyte and Thaumarchaeota to nutrient or light levels in the water column (e.g. Herfort et al., 2007; Kalanetra et al., 2009). Our results thus suggest that the application of both $\text{TEX}_{86}^{\text{H}}$ and U_{37}^{K} gives different but complementary information on water temperature developments in past marine environments in the open and coastal SCS. Future studies on the seasonal abundance and community structure of haptophyte and Thaumarchaeota, as well as the distribution of

GDGTs, within the water column in the open and coastal SCS are required to better constrain the different organic proxies.

4. Conclusions

Temperature estimates from U_{37}^K and TEX_{86}^H proxies for five short sediment cores from the coastal northern South China Sea show that both proxies reflect temperature values that differ from annual mean WOA SST. Values based on U_{37}^K are higher than annual mean WOA SST and appear consistent with euphotic zone water temperature (0–30 m) in the spring and summer when fluvial nutrient influx and algal production are elevated. In contrast, values based on TEX_{86}^H are lower than annual mean WOA SST and exhibit a clear shoreward cooling trend, in agreement with winter SST distribution when nutrient and algal production declines. Our study suggests that each proxy may record different temperature signals (e.g. different season and water depth), highlighting the importance of constraining paleoproxies on regional scales for correct interpretation of reconstructed temperature.

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